

OMB Approval

OMB Number: 3235-0123,  
3235-0749  
Estimated average burden hours  
per response: 12.00 (3235-  
0123) 16.00 (3235-0749)

(Please read instructions before preparing Form)

This report is being filed by a/an:

- 1) Broker-dealer not registered as an SBSD or MSBSP  
(stand-alone broker-dealer) .....  12000
- 2) Broker-dealer registered as an SBSD (broker-dealer SBSD) .....  12001
- 3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP) .....  12002
- 4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD) .....  12003
- 5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP) ...  12004
- Check here if respondent is an OTC derivatives dealer .....  12005

This report is being filed by a: Firm authorized to use models  12006 U.S. person  12007 Non-U.S. person  12008

This report is being filed pursuant to (check applicable block(s)):

- 1) Rule 17a-5(a) .....  16
- 2) Rule 17a-5(b) .....  17
- 3) Special request by DEA or the Commission .....  19
- 4) Rule 18a-7 .....  12999
- 5) Other (explain: \_\_\_\_\_) .....  26

<b>NAME OF REPORTING ENTITY</b>	<b>SEC FILE NO.</b>
INTERACTIVE BROKERS LLC <span style="float: right;"><input type="checkbox"/> 13</span>	8-47257 <span style="float: right;"><input type="checkbox"/> 14</span>
<b>ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)</b>	<b>FIRM ID NO.</b>
PICKWICK PLAZA <span style="float: right;"><input type="checkbox"/> 20</span>	36418 <span style="float: right;"><input type="checkbox"/> 15</span>
(No. and Street)	<b>FOR PERIOD BEGINNING (MM/DD/YY)</b>
GREENWICH <span style="float: right;"><input type="checkbox"/> 21</span> CT <span style="float: right;"><input type="checkbox"/> 22</span> 06830 <span style="float: right;"><input type="checkbox"/> 23</span>	10/01/23 <span style="float: right;"><input type="checkbox"/> 24</span>
(City) (State/Province) (Zip Code)	<b>AND ENDING (MM/DD/YY)</b>
UNITED STATES <span style="float: right;"><input type="checkbox"/> 12009</span>	10/31/23 <span style="float: right;"><input type="checkbox"/> 25</span>
(Country)	

<b>NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT</b>	<b>EMAIL ADDRESS</b>	<b>(AREA CODE) TELEPHONE NO.</b>
James Menicucci <span style="float: right;"><input type="checkbox"/> 30</span>	jmenicucci@interactivebrokers.com <span style="float: right;"><input type="checkbox"/> 12010</span>	(203) 618-8085 <span style="float: right;"><input type="checkbox"/> 31</span>

<b>NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT</b>	<b>OFFICIAL USE</b>
_____ <span style="float: right;"><input type="checkbox"/> 32</span>	_____ <span style="float: right;"><input type="checkbox"/> 33</span>
_____ <span style="float: right;"><input type="checkbox"/> 34</span>	_____ <span style="float: right;"><input type="checkbox"/> 35</span>
_____ <span style="float: right;"><input type="checkbox"/> 36</span>	_____ <span style="float: right;"><input type="checkbox"/> 37</span>
_____ <span style="float: right;"><input type="checkbox"/> 38</span>	_____ <span style="float: right;"><input type="checkbox"/> 39</span>

Is this report consolidated or unconsolidated? ..... Consolidated  198 Unconsolidated  199

Does respondent carry its own customer or security-based swap customer accounts? ..... Yes  40 No  41

Check here if respondent is filing an audited report .....  42

**EXECUTION:** The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

<b>Signatures of:</b>	<b>Names of:</b>
1) _____	_____ <span style="float: right;"><input type="checkbox"/> 12011</span>
Principal Executive Officer or Comparable Officer	Principal Executive Officer or Comparable Officer
2) _____	James Menicucci <span style="float: right;"><input type="checkbox"/> 12012</span>
Principal Financial Officer or Comparable Officer	Principal Financial Officer or Comparable Officer
3) _____	_____ <span style="float: right;"><input type="checkbox"/> 12013</span>
Principal Operations Officer or Comparable Officer	Principal Operations Officer or Comparable Officer

**ATTENTION:** Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

FOCUS  
Report  
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)  
Stand-Alone SBSB (Not Authorized to use models)  
Broker-Dealer SBSB (Not Authorized to use models)  
Broker-Dealer MSBSP (Not Authorized to use models)

## Computation of Net Capital

1. Total ownership equity from Item 1800 .....	\$	8,484,345,285	3480
2. Deduct ownership equity not allowable for net capital .....	\$	(0)	3490
3. Total ownership equity qualified for net capital .....	\$	8,484,345,285	3500
4. Add:			
A. Liabilities subordinated to claims of creditors allowable in computation of net capital .....	\$	0	3520
B. Other (deductions) or allowable credits (list) .....	\$	0	3525
5. Total capital and allowable subordinated liabilities .....	\$	8,484,345,285	3530
6. Deductions and/or charges			
A. Total nonallowable assets from Statement of Financial Condition .....	\$	664,664,577	3540
1. Additional charges for customers' and non-customers' security accounts .....	\$	0	3550
2. Additional charges for customers' and non-customers' commodity accounts .....	\$	0	3560
3. Additional charges for customers' and non-customers' security-based swap accounts .....	\$		12051
4. Additional charges for customers' and non-customers' swap accounts .....	\$		12052
B. Aged fail-to-deliver .....	\$	795,939	3570
1. number of items .....		105	3450
C. Aged short security differences-less reserve of .....	\$	0	3460
number of items .....		0	3470
D. Secured demand note deficiency .....	\$	0	3590
E. Commodity futures contracts and spot commodities - proprietary capital charges .....	\$	0	3600
F. Other deductions and/or charges .....	\$	321,982,504	3610
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) .....	\$	0	3615
H. Total deductions and/or charges .....	\$	(987,443,020)	3620
7. Other additions and/or allowable credits (list) .....	\$	0	3630
8. Tentative net capital (net capital before haircuts) .....	\$	7,496,902,265	3640
9. Haircuts on securities other than security-based swaps			
A. Contractual securities commitments .....	\$	0	3660
B. Subordinated securities borrowings .....	\$	0	3670
C. Trading and investment securities	\$		
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments .....	\$	0	3680
2. U.S. and Canadian government obligations .....	\$	0	3690
3. State and municipal government obligations .....	\$	13,901,411	3700
4. Corporate obligations .....	\$	0	3710
5. Stocks and warrants .....	\$	0	3720
6. Options .....	\$	494,850	3730
7. Arbitrage .....	\$	0	3732
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a .....	\$		12028
9. Other securities .....	\$	0	3734
D. Undue concentration .....	\$	0	3650
E. Other (List: _____) .....	\$	4,924,189	3736
10. Haircuts on security-based swaps .....	\$		12053
11. Haircuts on swaps .....	\$		12054
12. Total haircuts (sum of Lines 9A-9E, 10, and 11) .....	\$	(19,320,450)	3740
13. Net capital (Line 8 minus Line 12) .....	\$	7,477,581,815	3750

FOCUS  
Report  
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer  
Broker-Dealer SBSD (other than OTC Derivatives Dealer)  
Broker-Dealer MSBSP

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	\$		3640
2. Minimum tentative net capital requirement	\$		12055
3. Excess tentative net capital (difference between Lines 1 and 2)	\$		12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	\$		12057

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement			
A. 62/3% of total aggregate indebtedness (Line Item 3840)	\$		3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	\$	756,827,277	3870
i. Minimum CFTC net capital requirement (if applicable)	\$	200,398,683	7490
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)	\$		12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)	\$		12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)	\$	756,827,277	12060
6. Fixed-dollar minimum net capital requirement	\$	200,398,683	3880
7. Minimum net capital requirement (greater of Lines 5E and 6)	\$	756,827,277	3760
8. Excess net capital (Item 3750 minus Item 3760)	\$	6,720,754,538	3910
9. Net capital and tentative net capital in relation to early warning thresholds			
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	\$	6,569,389,083	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3.	\$	5,585,513,623	3920

Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	\$		3790
11. Add			
A. Drafts for immediate credit	\$		3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$		3810
C. Other unrecorded amounts (list)	\$		3820
D. Total additions (sum of Line Items 3800, 3810, and 3820)	\$		3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	\$		3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)	\$		3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)	%		3850
15. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880)	%		3853

Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	%	19.76	3851
17. Percentage of net capital, after anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)	%	19.76	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	%	0.00	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	%	0.00	3852

**FOCUS  
Report  
Part II**

Items on this page to be reported by a: Stand-Alone Broker-Dealer  
Broker-Dealer SBSB  
Broker-Dealer MSBSP

**CREDIT BALANCES**

1. Free credit balances and other credit balances in customers' security accounts (see Note A)	\$ 53,506,999,426	4340
2. Monies borrowed collateralized by securities carried for the accounts of customers (see Note B)	\$ 3,710,815,929	4350
3. Monies payable against customers' securities loaned (see Note C)	\$ 6,282,026,182	4360
4. Customers' securities failed to receive (see Note D)	\$ 49,515,894	4370
5. Credit balances in firm accounts which are attributable to principal sales to customers	\$ 0	4380
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	\$ 85,917,958	4390
7. ** Market value of short security count differences over 30 calendar days old	\$ 0	4400
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	\$ 194,889,769	4410
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	\$ 0	4420
10. Other (List: _____)	\$ 96,580,206	4425
11. TOTAL CREDITS (sum of Lines 1-10)	\$ 63,926,745,364	4430

**DEBIT BALANCES**

12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E)	\$ 30,019,295,628	4440
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	\$ 4,111,252,291	4450
14. Failed to deliver of customers' securities not older than 30 calendar days	\$ 0	4460
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F)	\$ 3,710,815,929	4465
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	\$ 0	4467
17. Other (List: _____)	\$ 0	4469
18. ** Aggregate debit items (sum of Lines 12-17)	\$ 37,841,363,848	4470
19. ** Less 3% (for alternative method only – see Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470)	\$( 1,135,240,915)	4471
20. **TOTAL DEBITS (Line 18 less Line 19)	\$ 36,706,122,933	4472

**RESERVE COMPUTATION**

21. Excess of total debits over total credits (line 20 less line 11)	\$ 0	4480
22. Excess of total credits over total debits (line 11 less line 20)	\$ 27,220,622,431	4490
23. If computation is made monthly as permitted, enter 105% of excess of total credits over total debits	\$	4500
24. Amount held on deposit in "Reserve Bank Account(s)", including \$ 26,504,930,749 value of qualified securities, at end of reporting period	\$ 27,130,040,842	4510
25. Amount of deposit (or withdrawal) including \$ 551,735,264 value of qualified securities	\$ 553,750,948	4520
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including \$ 27,056,666,013 value of qualified securities	\$ 27,683,791,790	4530
27. Date of deposit (MM/DD/YY)	11/01/23	4540

**FREQUENCY OF COMPUTATION**

28. Daily  4332 Weekly  4333 Monthly  4334

\*\* In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

Name of Firm: INTERACTIVE BROKERS LLC

As of: 10/31/23

Items on this page to be reported by: Futures Commission Merchant

**SEGREGATION REQUIREMENTS**

1. Net ledger balance			
A. Cash	.....	\$	7,335,297,051 <span style="border: 1px solid black; padding: 0 2px;">7010</span>
B. Securities (at market)	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7020</span>
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			
	.....	\$	(107,695,884) <span style="border: 1px solid black; padding: 0 2px;">7030</span>
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market	.....	\$	339,556,287 <span style="border: 1px solid black; padding: 0 2px;">7032</span>
B. Deduct market value of open option contracts granted (sold) on a contract market	.....	\$	(493,348,857) <span style="border: 1px solid black; padding: 0 2px;">7033</span>
4. Net equity (deficit) (total of Lines 1, 2, and 3)			
	.....	\$	7,073,808,597 <span style="border: 1px solid black; padding: 0 2px;">7040</span>
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	.....	\$	1,088,945 <span style="border: 1px solid black; padding: 0 2px;">7045</span>
Less: amount offset by customer owned securities	.....	\$	(0) <span style="border: 1px solid black; padding: 0 2px;">7047</span>
	.....	\$	1,088,945 <span style="border: 1px solid black; padding: 0 2px;">7050</span>
6. Amount required to be segregated (add lines 4 and 5)			
	.....	\$	7,074,897,542 <span style="border: 1px solid black; padding: 0 2px;">7060</span>

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts			
A. Cash	.....	\$	2,242,055,735 <span style="border: 1px solid black; padding: 0 2px;">7070</span>
B. Securities representing investments of customers' funds (at market)	.....	\$	2,040,296,920 <span style="border: 1px solid black; padding: 0 2px;">7080</span>
C. Securities held for particular customers or option customers in lieu of cash (at market)	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7090</span>
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	.....	\$	2,418,747 <span style="border: 1px solid black; padding: 0 2px;">7100</span>
B. Securities representing investments of customers' funds (at market)	.....	\$	3,157,427,468 <span style="border: 1px solid black; padding: 0 2px;">7110</span>
C. Securities held for particular customers or option customers in lieu of cash (at market)	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7120</span>
9. Net settlement from (to) derivatives clearing organizations of contract markets			
	.....	\$	320,123 <span style="border: 1px solid black; padding: 0 2px;">7130</span>
10. Exchange traded options			
A. Value of open long option contracts	.....	\$	339,556,285 <span style="border: 1px solid black; padding: 0 2px;">7132</span>
B. Value of open short option contracts	.....	\$	(493,348,856) <span style="border: 1px solid black; padding: 0 2px;">7133</span>
11. Net equities with other FCMs			
A. Net liquidating equity	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7140</span>
B. Securities representing investments of customers' funds (at market)	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7160</span>
C. Securities held for particular customers or option customers in lieu of cash (at market)	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7170</span>
12. Segregated funds on hand (describe: _____)			
	.....	\$	0 <span style="border: 1px solid black; padding: 0 2px;">7150</span>
13. Total amount in segregation (add lines 7 through 12)			
	.....	\$	7,288,726,422 <span style="border: 1px solid black; padding: 0 2px;">7180</span>
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			
	.....	\$	213,828,880 <span style="border: 1px solid black; padding: 0 2px;">7190</span>
15. Management Target Amount for Excess funds in segregation			
	.....	\$	155,000,000 <span style="border: 1px solid black; padding: 0 2px;">7194</span>
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			
	.....	\$	58,828,880 <span style="border: 1px solid black; padding: 0 2px;">7198</span>

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder .....	\$		7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers			
A. Cash .....	\$	603,528,685	7315
B. Securities (at market) .....	\$		7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade .....	\$	11,959,739	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade .....	\$	128,573	7335
B. Market value of open contracts granted (sold) on a foreign board of trade .....	\$	(36,710)	7337
4. Net equity (deficit)(add lines 1. 2. and 3.) .....	\$	615,580,287	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount .....	\$	3,904	7351
Less: amount offset by customer owned securities .....	\$	( )	7352
	\$	3,904	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) .....	\$	615,584,191	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. ....	\$	615,584,191	7360

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	27,768,896	7500
B. Other banks qualified under 17 CFR. 30.7			
Name(s): JPMorgan, BMO Harris	7510	\$	7520
		\$	27,768,896
			7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	476,459,805	7540
B. In safekeeping with other banks designated by 17 CFR. 30.7			
Name(s):	7550	\$	7560
		\$	476,459,805
			7570
3. Equities with registered futures commission merchants			
A. Cash	\$		7580
B. Securities	\$		7590
C. Unrealized gain (loss) on open futures contracts	\$		7600
D. Value of long option contracts	\$		7610
E. Value of short option contracts	\$(		7615
	)	\$	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	7630		
A. Cash	\$		7640
B. Securities	\$		7650
C. Amount due to (from) clearing organizations - daily variation	\$		7660
D. Value of long option contracts	\$		7670
E. Value of short option contracts	\$(		7675
	)	\$	7680
5. Amounts held by members of foreign boards of trade			
Name(s): BBVA, IBC, IBUK, IBJP, IBHK, IBA	7690		
A. Cash	\$	222,252,757	7700
B. Securities	\$		7710
C. Unrealized gain (loss) on open futures contracts	\$	11,388,741	7720
D. Value of long option contracts	\$	128,573	7730
E. Value of short option contracts	\$(	(36,710)	7735
	)	\$	233,733,361
			7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	7750	\$	7760
7. Segregated funds on hand (describe: )			
		\$	7765
8. Total funds in separate 17 CFR 30.7 accounts			
		\$	737,962,062
			7770
9. Excess (deficiency) set aside funds for secured amount			
(Line Item 7770 minus Line Item 7360)		\$	122,377,871
			7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts			
		\$	80,000,000
			7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess			
		\$	42,377,871
			7785